

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT

In re patent application of: TRAN et al.  
 Serial No.: 10/018,401  
 Filed: December 19, 2001  
 For: EPITAXIAL FILM

Examiner:  
 Art Unit:  
 Docket #: P07472US00/WEJ

COMMISSIONER FOR PATENTS  
 WASHINGTON, D.C. 20231  
 SIR:



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Attached is:

- ..... a response after Final Rejection dated
- ..... a response to the Office Action dated
- ☒ ..... a Preliminary Amendment
- ..... a Petition for an extension of time
- ☒ ..... Other: Information Disclosure Statement; List of References; Search Report; 6  
 References

Fees: For claims if required and/or other fees as shown below:

	NOW	Previously Paid For	Present Extra	Rate	\$
TOTAL CLAIMS				X \$ 18 =	
INDEP. CLAIMS				X \$ 84 =	
TOTAL OF ABOVE CLAIMS FEES =					
Reduction by 1/2 for <b>small entity status</b> of applicant					
SUBTOTAL =					
Fee for extension of time (per attached Petition)					
Other fee for					
TOTAL OF ALL FEES =					-0-

☒ A check in the amount of \$ -0- is enclosed. If no check or an insufficient check is enclosed and a fee is due in connection herewith, the Commissioner is authorized to charge any fee or additional fee due in connection herewith to Deposit Account No. 12-0555.

☒ In the event that a petition for extension of time is required to be submitted herewith and that a separate petition is not submitted herewith, applicant hereby petitions under 37 CFR 1.136(a) for an extension of time of as many months as are required to render this submission timely. Any fee is authorized above.

Respectfully submitted,

Date: February 5, 2002

*William E. Jackson*  
 By: William E. Jackson  
 Registration No.: 24,046

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Examiner

Art Unit

Docket #

P074720US00/WEJ

INFORMATION DISCLOSURE STATEMENT

Commissioner for Patents

Washington, D.C. 20231

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This IDS is submitted herewith pursuant to 37 CFR. §1.97-1.98 and includes the following:

- ☒ A listing of the references on PTO-1449.
- ☒ A copy of references which have come to applicant's attention.
- ☒ A copy of a corresponding foreign Search Report explaining the relevance of those references.
- ☐ A separate explanation of relevance.

Please note the following particulars concerning the filing of this IDS:

- ☒ This IDS is filed **within three months** of the filing date of a national application other than a CPA, or within three months of the date of entry into the national stage as set forth in 37 CFR. §1.491 in an international application, or before the mailing date of a first Office Action on the merits, or before the mailing of a first Office Action after the filing of a request for continued examination, whichever event occurs last.

☐ This IDS is filed **after a first action**, but before a final action, allowance, or any other action which closes prosecution, **and**:

☐ is accompanied by a check in the amount of \$180.00 required by 37 CFR. §1.17(p).

or

☐ I hereby state that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

☐ I hereby state that no item of information in this IDS herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR. §1.56(c) more than 3 months prior to the filing of this IDS.

☐ An appropriate statement is attached.

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..... This IDS is filed **after a final action or allowance**, but on/before payment of the issue fee, **and**:  
..... is accompanied by a check in the amount of \$180.00 required by 37 CFR. §1.17(p).

**and**

..... I hereby state that each item of information contained in this IDS was cited in a communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this IDS.

..... I hereby state that no item of information in this IDS herewith was cited in a communication from a foreign patent office in a counterpart foreign application, or, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 CFR. §1.56(c) more than 3 months prior to the filing of this IDS.

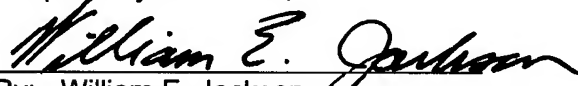
..... An appropriate statement is attached.

..... This IDS is being filed pursuant to 37 CFR. §1.97(i), **for placement in the file.**

**X** ..... If no check is enclosed and a fee is due in connection with this communication or if the check enclosed is insufficient, the Commissioner is authorized to charge any fee or additional fee due with this communication to Deposit Account No. 12-0555.

Respectfully submitted,

Date: February 5, 2002

  
By: William E. Jackson  
Registration No.: 24,046

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